

FORM PTO-1390  
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

FNL6318P0020US

U.S. APPLICATION NO. (if known - see 37 CFR 1.5)

10/048076

INTERNATIONAL APPLICATION NO.  
PCT/GB00/03171INTERNATIONAL FILING DATE  
16 Aug. 2000 (16.08.2000)PRIORITY DATE CLAIMED  
16 Aug. 1999 (16.08.1999)

TITLE OF INVENTION INFORMATION CODING TECHNIQUES

APPLICANT(S) FOR DO/EO/US  
Matthewson, Peter

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 16 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. Copy of International Publication No. WO 01/13329 A1;
  - b. Copy of International Search Report, as included in item 16a;
  - c. Copy of International Preliminary Examination Report with annex(es);

Mailed via Express Mail Mailing Label  
No. EV 033932509US

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) <b>1-0/048076</b>		INTERNATIONAL APPLICATION NO. PCT/GB00/03171		ATTORNEY'S DOCKET NUMBER FNL6318P0020US	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> PTO USE ONLY <div style="border: 1px solid black; height: 100px; width: 100%;"></div>	
				<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> \$    860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$    130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	-30-    - 20 =	-10-	X \$18.00	\$    180.00	
Independent claims	-4-    - 3 =	-1-	X \$80.00	\$    80.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$    270.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$    1,520.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$    0.00	
<b>SUBTOTAL =</b>				\$    1,520.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$    0.00	
<b>TOTAL NATIONAL FEE =</b>				\$    1,520.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$    0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$    1,520.00	
				Amount to be refunded:	\$ <input type="text"/>
				charged:	\$ <input type="text"/>
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,520.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-1644</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO:  ROCKEY, MILNAMOW & KATZ, LTD. Two Prudential Plaza, 47th Floor 180 North Stetson Avenue Chicago, Illinois 60601  Telephone: (312) 616-5400 Facsimile: (312) 616-5460			<div style="text-align: right; margin-bottom: 10px;">             SIGNATURE:         </div> <div style="text-align: right; margin-bottom: 10px;">           Allen J. Hoover            NAME         </div> <div style="text-align: right; margin-bottom: 10px;">           24,103            REGISTRATION NUMBER         </div>		